

# HAMLIN UNIVERSITY SCHOOL OF LAW

## Health Law: Liability & Quality

Fall 2012

Professor Thaddeus Pope

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**Time:** Mondays, Wednesdays, and Fridays 1:50 to 2:50 p.m.

**Place:** Law 103

**Midterm Exam:** In-class on Wednesday, October 10, 2012

**Final Exam:** 72-hour Take Home anytime during the exam period

### I. Course Description

The healthcare industry has become perhaps the most regulated in the United States. As providers and other players respond to this regulatory environment, the health law field has become a dynamic and complex area of law. And it is one evidencing a marked growth in legal employment. Indeed, health law has become such a specialized area that some states have developed board certification programs in healthcare.

Still, this course might alternatively be characterized as an advanced torts class in which healthcare is just a “vehicle” for exploring liability as a means for assuring quality. While requiring substantial writing and other exercises, this course emphasizes legal doctrine over litigation strategy or public policy. It is designed to prepare you for legal practice regardless of where your professional path takes you.

Health Law can be divided into five subfields: (1) finance and regulation, (2) public health, (3) biotechnology and life sciences, (4) bioethics, and (5) patient care. This course focuses on patient care, especially as it is regulated through provider liability. Specific topics include: (a) the formation and termination of the treatment relationship (contracts); (b) the duty to treat (statutory); (c) informed consent (torts); (d) confidentiality (torts, statutory); (e) medical malpractice (torts, evidence, civil procedure, agency), (f) hospital liability (torts, agency); (g) managed care liability and ERISA preemption (agency, statutory); and (h) licensure (statutory). The unifying themes are mechanisms to assure medical quality and patient autonomy.

The class will draw heavily on Torts, as well as from Civil Procedure, and Contracts. Familiarity with Agency and Evidence is also recommended but certainly not required.

## **II. Course Objectives**

Upon completion of the course, students will have:

- A. A basic systematic understanding of civil liability legal principles concerning the provision of medical treatment by both individual and institutional healthcare providers.
- B. Further honed legal analysis and writing abilities, through:
  - 1. Exposure to and critique of legal arguments in judicial opinions, legislative reports, and scholarly writing
  - 2. Participation in classroom discussion and group-based exercises
  - 3. Completion of and feedback on weekly problems
  - 4. Completion of and feedback on a written midterm examination
  - 5. Completion of and feedback on a written final examination
- C. Integration of material learned in other classes, such as: business organizations, civil procedure, contracts, evidence, statutory interpretation, and torts.

## **III. Required Materials**

- A. There is no casebook for this course. All course materials will be distributed through the course TWEN site. I recommend that you download these documents in PDF instead of opening and reading them in HTML. Alternatively, by using citations of the sources on TWEN, you can obtain almost all course materials directly through Westlaw and Lexis, should you want to use their dedicated printers. However, using such printing resources probably will not work for the minority of course materials that are comprised of medical journals, reports, and PACER documents.
- B. While we will cover a fair number of traditional appellate court opinions, a substantial portion of the material will be comprised of statutes, regulations, government reports, and academic law and policy articles.
- C. Due to the rapid and current changes in this area, other materials may be added or substituted.

#### **IV. Class Schedule**

- A. The class will meet on Mondays, Wednesdays, and Fridays from 1:50 to 2:50 p.m. in Law 103.
- B. The class will meet 35 times. But the class **not** meet on the following dates: (i) Monday, September 3<sup>rd</sup> due to Labor Day; (ii) Friday, October 12<sup>th</sup> due to a conference conflict; (iii) Friday, October 19<sup>th</sup> due to Midterm Break; (iv) Friday, November 2<sup>nd</sup> due to Health Law Moot Court; (v) Friday, November 9<sup>th</sup> due to the Hamline Law Review Symposium (which you should attend); and (vi) Friday, November 16<sup>th</sup> due to a conference conflict. The last class meets on Monday, November 19<sup>th</sup>.
- C. Several class sessions (probably some Friday sessions) will be offered in an asynchronous online format. This means that you will be able to “attend” these sessions from wherever you have Internet access.
- D. Depending on class interest, I am happy to schedule an extra “review” class during the weeks before the final exam. Please email your questions to me at least 24 hours before such session to better enable me to answer them. I am also happy to meet, at any time during the semester, both with individual students in my office, and with small groups. For example, last year, several students found it useful to review their essays on extra practice problems.

#### **V. What to Do First -- in August**

- A. Register for the TWEN site with the email address that you use most regularly.
- B. If you have not used TWEN before, review the student user guide.
- C. Read the initial class assignments posted on the TWEN site.
- D. Calendar key course dates into your planning and calendaring systems.
- E. Register with the Registrar to **type** your midterm exam.
- F. Review the instructions for my final exam from spring 2012 (available at [www.thaddeuspope.com](http://www.thaddeuspope.com)).

#### **VI. Attendance, Preparation, and Participation**

- A. Attendance: Under American Bar Association rules, 80% attendance is required to allow you to write the final exam. Attendance will be taken by passing class lists for signature at the start of each class session.

- B. Class Preparation: I employ only a moderate amount of lecture but lots of case method questions and problems. Consequently, students must come to class prepared to discuss the material assigned. All assigned cases should be read and briefed. It is useful to analyze each case using the following headings: (i) essential substantive facts, (ii) procedural posture, (iii) issues, (iv) legal principles, (v) reasoning, and (vi) holding. You do not need to know the correct answer (if there is one), but know the reading material and make a reasonable effort to think about the issues raised.
- C. Preparation Time: It is impossible to say exactly how much time you will need for class preparation, since each person's needs are different. But it is likely that you will need around three hours of preparation for each class. This includes: reading the materials, briefing the cases, consolidating prior notes, and taking the weekly quiz.
- D. Warning about Class Preparation: Brief the cases *yourself*. Do not make use of commercially prepared outlines before writing your own brief. As Professor DeWolf (at Gonzaga Law) explains, "they are like narcotics. Initially they make you feel good (by taking away your anxiety), but precisely for that reason they have a corrosive effect upon your learning. It is as though you were taking violin lessons, and instead of playing the scales you were assigned by your teacher, you bought a tape of Itzak Perlman playing those scales."
- E. Class Participation: *All* students are expected to participate in class discussions. Sometimes this will be through "clickers" like PollAnywhere. Other times, it will be by "cold calling." If illness or emergency prevents you from being fully prepared, please notify me *before* class. As explained in Section X below, 10% of your course grade is based on class participation.
- F. Meandering Discussion: I want to leave discussion sufficiently free so that you discover key points on your own and feel ownership in lessons learned. Still, I must exert control over class discussion to ensure that you are exposed to key points and to ensure that you are not confused by a discussion that runs too long or too tangentially. It is inappropriate and unfair to hold scores of students hostage to the too-peculiar line of inquiry of just one student. If we did not get to them, I am happy to explore your questions outside class in any of the ways described in section XIII below.
- G. Laptops: I will use an instant-poll tool (probably PollAnywhere) in which the entire class "votes" on the answers to orally-posed problems through a browser-supported template. Accordingly, laptops are welcome. If you do not bring a laptop, I expect that you can "vote" either through a neighbor's laptop (after refreshing the browser) or through your cell phone. After clicking-in, students will discuss their answers in small groups and then re-vote. Only then will we review the problems.

- H. TWEN Participation: Students are encouraged to participate not only in class but also through the TWEN discussion boards. Start a new thread or comment on one already in progress. The best posts: (i) are full of insight and analysis (critical thinking), (ii) reference the course materials, and (iii) are clearly written (organization & style).
- I. Volunteering: I will frequently ask a question that stumps the person whom I have called on. I will give that person time to think about the question, and see if they can come up with an answer. It will sometimes happen that you have an answer, and instinctively raise your hand to volunteer. I may or may not call on you at that moment. I would prefer your attempt to answer than mine, but best of all is to continue dialogue with the student who was initially called on. Nonetheless, to move things along I may let the volunteer help. Please be sensitive to the fact that the student who is called on often suffers from stage fright, and the most obvious things slip from their mind.
- J. Ask Questions: I begin each class by asking for both administrative and substantive questions. If you want to know what pages we will cover, please ask. If you are having trouble grasping a particular doctrine, please ask. Alternatively, send an email or start a discussion thread on TWEN.
- K. Show & Tell: The topics in this class are constantly in the news and in the plot lines of movies and broadcast shows. If you notice a story that illustrates or discusses a class topic, please send me an email or start a discussion thread on TWEN. It is both fun and rewarding to work through legal problems in the context of a visually compelling, dramatic clip.
- L. Outlining: The traditional method of exam preparation for law students involves making an outline of all course material. After every unit of material (*e.g.* formation and termination), but at least every two weeks, you should review and consolidate your case notes, class notes, and other material into an outline, flowchart, or other document. Furthermore, you should aim to edit and revise this growing document every time you add to it, both to improve the organization and to clarify the content. The more *actively* you engage the materials, the better your grasp and retention will be.

## **VII. Classroom Etiquette**

- A. The classroom environment must be conducive to learning for all students. Distractions made possible by advances in technology may undermine that goal.
- B. Audial: During class, in addition to the usual courtesies, kindly disable and refrain from using cell phones, pagers, and any other communication device other than your laptop computer. And please mute your laptop.

- C. Visual: Please refrain from displaying wallpaper, screen savers, or other material on your laptop computer that you can reasonably expect to be offensive or distracting to other students.
- D. End Time: I will be diligent about starting the class precisely at 1:50 and ending it precisely at 2:50. In return, please do not begin to pack-up early while others are still trying to be engaged in the class discourse.

## **VIII. Grading**

- A. Weekly Quizzes (10%) – see section IX
- B. Class Participation (10%) – see section X
- C. Midterm Exam (20%) – see section XI
- D. Final Exam (60%) – see section XII
- E. To make my calculations more objective and transparent, I convert all the above percentages into points. There are a total of 300 points for the course. The final exam is worth 180 points. The midterm exam is worth 60 points. The weekly quizzes are worth a total of 30 points. Class participation is worth 30 points. Grading methods are more fully explained in the next four sections.

## **IX. Required Quizzes**

- A. Rationale: I will assign weekly quizzes for three reasons. First, while I will provide informal, oral feedback during class discussions, I do not want the first *formal* feedback that you receive to be your graded midterm or final exam. Second, I want you to approach the material *actively*. Third, because later topics in this course build on and interrelate to earlier ones, I want to provide some external motivation to stay current.
- B. Format: Some quizzes will be comprised of three multiple choice questions. Others will entail drafting a roughly 250-word essay. These (along with the midterm) constitute “formative assessment,” while the final exam constitutes “summative assessment.”
- C. Due Date: You will complete the quizzes before class on the course TWEN site. I will announce and post the quizzes on most Thursdays. They will be due by 5:00 p.m. the following Monday. I will review the quiz in Monday’s class or post a feedback memo.

- D. Coverage: These weekly quizzes are primarily meant to test basic understanding of legal principles covered at about the time of the quiz. They are simpler than questions on the midterm and final exams that require more analysis.
- E. Grading: I will grade the quizzes. The ten quizzes, in the cumulative, comprise 20% of your total course grade. Each quiz is worth three points or roughly 1% of your total course grade (300 points).
- F. TWEN: Many students have found it useful to approach the multiple choice question quizzes in this manner: (i) open and print the quiz, (ii) answer the questions “offline,” and then (iii) log-in and submit their answers. The short essay quizzes should be submitted as Word or PDF files in TWEN’s “Assignment Drop Box.” If you ever have a technical problem, just email me your quiz answers.

**X. Class Participation**

- A. Class participation comprises 10% of your course grade, 30 of the 300 total course points.
- B. The typical student who regularly meaningfully participates will earn all 30 points. Those who are unprepared or frequently absent will earn either half or none of these points.

**XI. Midterm Exam**

- A. Date: The midterm exam is scheduled from 1:50 to 2:50 p.m. on Wednesday, October 10, 2012.
- B. Weight: The midterm exam comprises 20% of your course grade, 60 of the 300 total course points.
- C. Grades: The only letter grade for this course is the final course grade based on the total 300 points. Nevertheless, to enable you to gauge your relative performance, I will assign letter grades to the midterm exams based on the mandatory curve. While the numeric scores compute (60 of 300 points), midterm letter grades are informational only.
- D. Everything else about the midterm exam is the same as the final exam, except that the midterm is only one hour instead of three hours.

## **XII. Final Exam**

- A. Date: The final exam is a take-home that you may download and complete during 72-hours within the exam period.
- B. Weight: The final exam comprises 60% of your course grade, 180 of the 300 total course points.
- C. Format and Length: The final examination will be comprised of three roughly equal parts. This three-part structure has been proven to maximize an exam's reliability and validity. The first part will include multiple choice questions (roughly 25 questions in 50 minutes). The second part will include short or "directed" essay questions (roughly two questions in 50 minutes) focused on one or two specific issues. The third part will include a long essay problem (roughly one question in 80 minutes). The essays are essentially hypothetical factual circumstances in which you will be expected to: (i) identify the legal issues, (ii) analyze the problems by applying the correct legal principles to the facts, and (iii) argue for a reasonable conclusion.
- D. Coverage: The exam will test those concepts and issues either covered in assigned readings or explored during class lectures and discussions. The exam will roughly reflect the relative time and emphasis on topics in the course. For example, malpractice will be tested more heavily than licensure.
- E. Open Book: On the exam, you will be allowed to use any written or printed materials that you choose. No electronic devices are permitted except through ExamSoft.
- F. Warning about Open Book: Having your notes and materials will **not** relieve you of the need to already know the material. Indeed, it is very probable that if you do not study for this exam **exactly** as you would for a closed-book exam, then you will do very poorly and perhaps not pass.
- G. ExamSoft: I strongly encourage you to register to **type** your midterm and final exams, using your laptop and special security software that you can download from the Law School's website, <<https://www.examssoft.com/widenerlaw>>. Typing your exam allows you to create a work product in a way that will be least distracting from the substance.
- H. Grading: All exams will receive a raw score from zero to 180. The raw score is meaningful only relative to the raw score of the other students in the class. The raw score will be added to the midterm and quiz scores. That total will then be converted to a scaled score, based on the class curve. For example, if the highest raw score in the class were 240/300, then that student would receive an A. The final grades will comport with Law School's grading policies and grading curve described in the *Student Handbook*.

- I. Grading Criteria: I have posted six years of my *Health Law* midterm and final exams and exam feedback memos to the library exam database. Some of those exams (especially before 2007) had a broader coverage than we will have in this course. Indeed, the coverage in none of these prior classes will be identical to yours. Your exams will be based only on what we cover in this class. Still, by working through these old exams, you can get a good sense of the criteria that I employ in grading. In short, I look for:
1. An ability to muster relevant evidence and authority to make arguments both cogently and clearly
  2. An understanding of substantive legal doctrine
  3. An appreciation for broader policy concerns that influence how legal doctrine applies to novel situations
  4. A practical appreciation for the context of care in a hospital setting and for the context of tort litigation
- J. Exam Feedback: Several weeks after the exam, I will post on the TWEN site: (i) a copy of the exam, (ii) a blank scoring sheet and explanatory memo, and (iii) model answers.
- K. Exam Review: All grades are final. While sometimes seemingly unfair in application, pursuant to school rules, there will be no negotiations regarding revisions, except to correct any mathematical or clerical errors in computing the final score. Of course, I will be happy to go over the exam with anyone who schedules an appointment to review the exam. On request, I scan and email you a copy of *your* exam answers. If – after reviewing these against the exam, the feedback memo, model answers, and your notes – you have questions about your exam, please email those to me in advance of our meeting so that I can be sufficiently prepared to ensure a productive and efficient meeting.

### **XIII. Office Hours**

I look forward to talking to you outside class. There are several means of doing this:

- A. After class: I will remain in the classroom after each class for all trailing questions, until or unless we are kicked out by another class.
- B. Office: I can typically be found in my office before and after class. If this is not a convenient time, just let me know in class or by email and we can make an appointment with each other. You are welcome to drop in my office anytime, but it is best to confirm a specific time in advance. If you have a specific question, I recommend that you send me the question via email ahead of time. In this way, I can think about your question and offer my best assistance.

- C. Email: Feel free to e-mail me anytime at [tpope01@hamline.edu](mailto:tpope01@hamline.edu). I will try to promptly answer any question as soon as possible.
- D. TWEN: Whether you want to elaborate or clarify the required materials or class discussions, you can start a discussion thread on the TWEN site. You are encouraged to provide constructive comments within each other's threads.
- E. Lunch or Coffee: I have found that grabbing a quick lunch (or given the time of this class, coffee/tea) is a good way to get to know each other. If you and one or two other students want to share a bite/coffee/tea, please let me know.

#### **XIV. TWEN Site**

The TWEN site will include the following materials:

- A. All required reading for the course (*e.g.* cases, statutes, articles)
- B. PowerPoint slides for each class, posted before each class
- C. Links to MP3 recordings of selected classes and periodic summaries
- D. Weekly Quizzes (see section IX, *supra*)
- E. Optional supplementary and background reading
- F. Materials concerning health law writing and career opportunities

**Warning!!** Do not permit the availability of these materials to deter you from preparing and participating in class. I provide these materials to supplement and enhance classroom learning, not to substitute for it. It is important to remember that knowledge acquisition is only one small part of law school education. I plan to do little lecturing during classes. Lectures may seem to provide more value – more content, more certainty. It may seem like you are “learning” more. But this would be poor preparation for the practice of law where there is little certainty. Furthermore, nonattendance is not an option given University and ABA attendance requirements, and the grading policy described above.

#### **XV. Study Aids and Reference Materials**

Despite the prevalence of health law courses in U.S. law schools, there are, as yet, few student-oriented ancillary materials. But there are numerous clear and lucid law review articles and background reports. I will provide copies of, or links to, the more useful of these materials on a topic-by-topic basis. And you have direct free access to most of these through HeinOnline, Westlaw, and other databases.

There are also some good reference books. You really ***do not*** need to use any of these sources. I list them here only should you want to consult them to get more depth or breadth on certain issues.

**A. Study Aids**

1. MARCIA M. BOUMIL & PAUL HATTIS, *MEDICAL LIABILITY IN A NUTSHELL* (West 3d ed. 2011).
2. BARRY R. FURROW, THOMAS L. GREANEY, SANDRA H. JOHNSON, TIMOTHY STOLTZFUS JOST & ROBERT L. SCHWARTZ, *HEALTH LAW* (2d ed. West Hornbook series 2000) (adapted from the 3-volume practitioner series).
3. MARK A. HALL, IRA MARK ELLMAN & DANIEL S. STROUSE, *HEALTH CARE LAW AND ETHICS IN A NUTSHELL* (3d ed. West 2011).
4. SANDRA H. JOHNSON & ROBERT L. SCHWARTZ, *BIOETHICS AND LAW IN A NUTSHELL* (West 2009).
5. ROBERT D. MILLER, *PROBLEMS IN HEALTH CARE LAW* (9<sup>th</sup> ed. Jones & Bartlett 2006).

**B. Study Aids for Non-Lawyers**

1. TONIA D. AIKEN, *LEGAL AND ETHICAL ISSUES IN HEALTH OCCUPATIONS* (Elsevier 2008).
2. GEORGE J. ANNAS, *THE RIGHTS OF PATIENTS: THE AUTHORITATIVE ACLU GUIDE TO THE RIGHTS OF PATIENTS* (3d ed. NYU 2004).
3. CAROLYN BUPPERT, *NURSE PRACTITIONER'S BUSINESS PRACTICE AND LEGAL GUIDE* (4<sup>th</sup> ed. Jones & Bartlett 2011).
4. BONNIE FREMGEN, *MEDICAL LAW AND ETHICS* (4th ed. Prentice Hall 2011).
5. CARL HORN, *LAW FOR PHYSICIANS: AN OVERVIEW OF MEDICAL LEGAL ISSUES* (AMA 2000).
6. JANICE L. KAZMIER, *HEALTH CARE LAW* (Cengage Learning 2008).
7. MARCIA A. LEWIS & CARL D. TAMPARO, *MEDICAL LAW, ETHICS, AND BIOETHICS* (6<sup>th</sup> ed. F.A. Davis 2007).
8. GEORGE D. POZGAR & NINA SANTUCCI, *LEGAL ASPECTS OF HEALTH CARE ADMINISTRATION* (11<sup>th</sup> ed. Jones & Bartlett 2012).
9. RONALD W. SCOTT, *PROMOTING LEGAL AND ETHICAL AWARENESS: A PRIMER FOR HEALTH PROFESSIONALS AND PATIENTS* (Elsevier 2008).

C. **General Reference Materials**

This is, of course, a highly select list. I have not included CLE or practitioner-oriented materials.

1. AMERICAN COLLEGE OF LEGAL MEDICINE TEXTBOOK COMMITTEE, LEGAL MEDICINE (Mosby 7<sup>th</sup> ed. 2007).
2. AMERICAN HEALTH LAWYERS ASSOCIATION, FUNDAMENTALS OF HEALTH LAW (West 5<sup>th</sup> ed. 2011), Westlaw: AHLA-PAPERS.
3. AMERICAN HEALTH LAWYERS ASSOCIATION, HEALTH LAW PRACTICE GUIDE (West CBC 3-vol. looseleaf), Westlaw: HTHLPG.
4. ALISON BARNES ET AL., HEALTH CARE LAW DESK REFERENCE (ALI-ABA 2001).
5. SCOTT BECKER, HEALTH CARE LAW: A PRACTICAL GUIDE (Lexis 1-vol. looseleaf), on LEXIS.
6. BNA HEALTH LAW AND BUSINESS LIBRARY, WEB PORTFOLIOS LIBRARY (BNA Online) (also available in print or CD-ROM).
7. DEAN M. HARRIS, CONTEMPORARY ISSUES IN HEALTHCARE LAW AND ETHICS (Health Admin. Press 2003).
8. PAUL C. LASKY ED., HOSPITAL LAW MANUAL (Aspen 5-vol. looseleaf).
9. BRYAN A. LIANG, HEALTH LAW & POLICY: A SURVIVAL GUIDE TO MEDICOLEGAL ISSUES FOR PRACTITIONERS (Butterworth Heinemann 2000).
10. MICHAEL G. MACDONALD ED., TREATISE ON HEALTH CARE LAW (Matthew Bender 5-vol. looseleaf), on LEXIS.

D. **Specific Issue Reference Materials**

1. JULIE A. BARNES, MANAGED CARE LITIGATION (ABA-BNA 2005 & Supp. 2008).
2. ALICE G. GOSFIELD ED., HEALTH LAW PRACTICE GUIDE (Thomson/West annual), Westlaw: HTHLPG.
3. DAVID W. LOUISELL, MEDICAL MALPRACTICE (Matthew Bender 5-vol. looseleaf), on LEXIS.
4. JOHN P. MARREN, MANAGED CARE LAW MANUAL (Aspen looseleaf).

5. ALAN MEISEL & KATHY CERMINARA, *THE RIGHT TO DIE* (3<sup>rd</sup> ed. Aspen looseleaf).
6. MARK M. MOY, *EMTALA ANSWER BOOK* (Aspen 2009).
7. CLAIRE C. OBADE, *PATIENT CARE DECISION MAKING: A LEGAL GUIDE FOR PROVIDERS* (West CBC looseleaf), Westlaw: PCAREDM.
8. STEVEN E. PEGALIS, *AMERICAN LAW OF MEDICAL MALPRACTICE* (West CBC 3d ed. 2005 & Supp. 2008) (3 volumes), Westlaw: ALMM.
9. FAY A. ROZOVSKY, *CONSENT TO TREATMENT: A PRACTICAL GUIDE* (4<sup>th</sup> ed. Aspen 2009).

## **XVI. Course Reading Outline**

The outline below is intended to give you a sense of the course coverage. It is *not* a reading schedule. Given the interactive nature of the law school classroom, it is difficult to predict, much less promise, exactly what material we will be covering on a specific future date. Closely (but not exactly) following its sequence, I will give the specific assignment for the following week during the prior week. ***Please note that this course is cumulative. Except for the last two units, later topics build on earlier ones.***

The current assignment will always be posted on the TWEN home page. Old assignments will be collected as a TWEN “document” under the “Admin” tab. All the following materials are available from the TWEN site. Alternatively, most of them can be also obtained from Westlaw and Lexis, if you would find printing from their dedicated printers more convenient. I will probably assign additional material to reinforce and link legal concepts presented below.

### **1. Triggering of Treatment Duties**

#### **A. Duty to Treat**

1. **Duty to Treat: Common Law for Physicians**  
Rosenbaum, *JAMA* (2003) (for overview to 1552) 5p  
*Hurley v. Eddingfield* (Ind. 1901) 1p
2. **Duty to Treat: Common Law for Hospitals**  
*Wilmington Hosp. v. Manlove* (Del. 1961) 7p  
*Walling v. Flint Osteopathic Hosp.* (Mich. App. 1990) 2p
3. **Duty to Treat: Constitutional Law**  
*Wideman v. Shallowford Hosp.* (11<sup>th</sup> Cir. 1987) 10p

**4. Duty to Treat: EMTALA for Hospitals**

Lee, *Annals Health L.* (2004) (for overview) 7p  
EMTALA statute, 42 U.S.C. 1395dd 4p  
EMTALA regulations, 42 C.F.R. 489.24 7p  
*In re Baby K* (4<sup>th</sup> Cir. 1994) 7p  
*Kaufman v. Franz* (E.D. Pa. 2009) 3p  
*Toretti v. Main Line* (3d Cir. 2009) 10p  
*Smith v. Albert Einstein* (3d Cir. 2010) 3p  
*Jonhson v. Portz* (D. Del. 2010) 9p

**5. Duty to Treat: EMTALA for Physicians**

EMTALA does not authorize private actions against physicians.  
While private damages actions are the main enforcement vehicle,  
EMTALA does authorize administrative sanctions.  
*Burditt v. DHHS* (5<sup>th</sup> Cir. 1991) 14p

**6. Duty to Treat: ADA**

ADA statute, 42 U.S.C. 12101-02 & 12181-82 5p  
*Bragdon v. Abbott* (U.S. 1998) (majority) 14p  
*Glanz. v. Vernick* (D. Mass. 1991) 7p  
*McElroy v. Univ. Neb.* (D. Neb. 2007) 7p

**7. Duty to Treat: Other Statutes**

Hill Burton Act (1946), 42 C.F.R. 124.601 4p  
Title VI (1964), 42 U.S.C. 2000d, 42 C.F.R. 80.3 2p  
*Walker v. Pierce* (4<sup>th</sup> Cir. 1977) 7p

**B. Treatment Relationship**

The formation and existence of a treatment relationship is a prerequisite for the triggering of duties that the physician owes qua physician (e.g. informed consent, non-abandonment, malpractice).

**1. Formation: Treating Physicians**

*Adams v. Via Christi* (Kan. 2001) 10p  
*Clanton v. Von Haam* (Ga. App. 1986) 4p  
*Lyon v. Grether* (Va. 1977) 4p

**2. Formation: Consulting and Non-Treating Physicians**

*Reynolds v. Decatur Hosp.* (Ill. App. 1996) 8p  
*Bazakos v. Lewis* (N.Y.A.D. 2008) 12p  
*Bazakos v. Lewis* (N.Y. 2009) 2p  
*Jennings v. Badgett* (Okla. 2010) 5p  
*Smith v. Radecki* (Alaska 2010) 12p

3. **Termination: Tortuous Abandonment**  
*Ricks v. Budge* (Utah 1937) 8p  
*Payton v. Weaver* (Cal. App. 1982) 6p
4. **Termination: Ethics and Licensure**  
16 Del. Code 2508 1p  
N.J.A.C. 13:35-6.22 2p  
AMA Code Med. Ethics 8.115 1p  
Pope Br. in *Betancourt v. Trinitas Hosp.* (N.J.A.D. 2010) 5p
5. **Limitation**  
*Tunkl v. Regents U. Calif.* (Cal. 1963) 9p  
*Ruiz v. Podolsky* (Cal. 2010) 8p  
Discharge against Advice Form 1p  
Film clip: *Ghost Town* (2008)

## 2. Informed Consent

- A. **Distinguishing Battery**  
Restatement (Second) Torts 15, illus. 1 1p
- B. **Disclosure Standards: Material Risk (Reasonable Patient)**  
There are two main disclosure standards in the United States. These define the scope of the physician's duty. Around half the states require the physician to disclose information that a reasonable person would consider material to the treatment decision.  
*Canterbury v. Spence* (D.C. 1972) 37p  
*Arato v. Avedon* (Cal. 1993) 12p  
*Wilson v. Merritt* (Cal. App. 2006) 9p
- C. **Disclosure Standards: Custom (Reasonable Physician)**  
Around half the states require the physician to disclose information that the reasonably prudent physician would disclose under the circumstances.  
*Culbertson v. Mernitz* (Ind. 1992) 10p  
*Rizzo v. Schiller* (Va. 1994) 3p  
Merenstein, *JAMA* (2006) 2p
- D. **Disclosure Standards: Subjective Standard (Particular Patient)**  
*Scott v. Bradford* (Okla. 1979) 4p
- E. **Exceptions to Duty**

Already Known	Emergency
Generally Known	Therapeutic Privilege
Waiver	Public Health
Safe Harbors	Conscience Clauses

**F. Causation**

*Spencer v. Goodill* (Del. 2011) 10p

Part 1: *Materialization*: The plaintiff suffered an injury that is within the scope of the undisclosed risk.

Part 2: *Scientific causation*: The plaintiff's injury would not have occurred but for the materialization of the undisclosed risk.

Part 3: *Objective hypothetical conduct*: The reasonable person in the plaintiff's position would not have consented to the procedure but for the breach (lack of disclosure).

**G. Disclosure Duty: Experience and Skill**

*Johnson v. Kokemoor* (Wis. 1996) 14p

*Howard v. UMDNJ* (N.J. 2002) 15p

*Marsingill v. O'Malley* (Alaska 2002) 9p

*DeGennaro v. Tandon* (Conn. 2005) 7p

**H. Disclosure Duty: Conflicts of Interest**

*Moore v. Regents U. Calif.* (Cal. 1990) 46p

**I. Patient Decision Aids**

Schenker & Meisel, *JAMA* (2011) 2p

Schenker, *Medical Decision Making* (2011) 7p

Demonstration of video and interactive PtDA

**3. Medical Malpractice**

**A. Introduction: Negligent and Non-Negligent Errors**

Leape & Berwick, *JAMA* (2005) 6p

PA Patient Safety Authority, *2010 Annual Report* (excerpts)

Annas, *New Eng. J. Med.* (2006) 2p

Larriviere, *Neurology* (2008) 4p

**B. Standard of Care**

*McCourt v. Abernathy* (S.C. 1995) 7p

*Locke v. Pachtman* (Mich. 1994) 10p

*Hill v. McCartney* (Iowa App. 1998) 4p

**C. Variations in the Standard of Care**

**1. Economic**

Most states follow a **national** standard of care. But there may be variations to account for differences in resources.

*Hall v. Hilbun* (Miss. 1985) (majority) 21p

**2. Geographical**

While a strict locality rule has been abandoned, some states still follow some version of a “same or similar community” standard.

Lewis, *JAMA* (2007) 4p

*Chapel v. Allison* (Mont. 1990) 6p

*Shaffer v. Yang* (Ark. App. 2010) 3p

**3. Schools of Thought (Respectable Minority)**

Whether at the national, statewide, or same or similar community level; there may be more than one legitimate standard of care.

*Jones v. Chidester* (Pa. 1992) 6p

PA Civil Jury Instruction 11.04 9p

**4. Judicial**

In the extraordinarily uncommon and exceptional case, the standard of care might be set by the court instead of by expert witnesses.

*Helling v. Carey* (Wash. 1974) 3p

**5. Clinical Practice Guidelines**

Both as part of a greater move toward evidence-based medicine and as a way to reduce defensive medicine, policymakers have reinvigorated efforts to define the standard of care with CPGs.

**D. Expert Witnesses: Qualification**

An expert witness is almost always needed to establish the standard of care. The standard of care must typically be established to prove breach.

*Thompson v. Carter* (Miss. 1987) (majority) 7p

*Jones v. Bagalkotakar* (D. Md. 2010) 11p

*Daubert v. Merrell Dow* (U.S. 1993) 20p

18 Del. Code 6853-54 3p

**E. Expert Witnesses: Weight and Credibility**

*Trower v. Jones* (Ill. 1988) 5p

Film clip: *The Verdict* (1982)

Film clip: *My Cousin Vinny* (1992)

**F. Causation: But For**

Medical malpractice plaintiffs must typically establish that they would not have been injured “but for” the defendant’s negligence. Yet, in some jurisdictions plaintiffs can alternatively establish that defendant’s negligence deprived them of a “chance” at avoiding injury.

**G. Causation: Lost Chance**

*Mohr v. Grantham* (Wash. 2011) (en banc) 20p  
*Wendland v. Sparks* (Iowa 1998) 6p  
*Valdez v. Newstart* (Tenn. 2008) 6p

**H. Damages: Economic and Non-economic**

*Fein v. Permanente Med. Group* (Cal. 1985) (to 670) 2p  
*Roberts v. Stevens Clinic Hosp.* (W.Va. 1986) (majority) 12p

**I. Damages: Punitive and Exemplary**

*McCourt v. Abernathy* (S.C. 1995) 0p  
18 Del. Code 6855 1p

**J. Damages: Loss of Consortium**

Hochfelder, *NY Injury Cases Blog* (2009) 2p

**K. Defenses**

**1. Statutes of Limitation**

*Rock v. Warhank* (Iowa 2008) 5p  
*Stuard v Jorgenson* (Idaho 2011) 10p

**2. Statutes of Repose**

*Jewson v. Mayo Clinic* (8<sup>th</sup> Cir. 1982) 6p

**3. Statutes of Repose: Course of Treatment**

*Wells v. Billars* (S.D. 1986) 5p  
*Gomez v. Katz* (N.Y.A.D. 2009) 9p  
*Cunningham v. Huffman* (Ill. 1993) 9p

**4. Assumption of Risk**

*Schneider v. Revici* (2d Cir. 1987) (not II.A) 6p  
*Anaya- Burgos v. Lasalvia-Prisco* (D.P.R. 2008) 7p

**5. Arbitration and Settlement**

*Madden v. Kaiser Hosp.* (Cal. 1976) 12p  
Levine, *ABA Health eSource* 2010 2p

**L. Alternative Theories of Liability**

**1. Res Ipsa**

*Locke v. Pachtman* (Mich. 1994) 0p  
*Jones v. Gaes* (Ky. 2011) 9p  
18 Del. Code 6853(e) 1p  
*Freeman v. X-Ray Assocs.* (Del. 2010) 16p

2. **Ordinary Negligence (e.g. Non-Patients)**  
*Bradshaw v. Daniel* (Tenn. 1993) 10p
3. **IIED & NIED**  
*Rideout v. Hershey Med.* (Pa. D&C 1995) 7p
4. **Battery**  
We covered this above under informed consent.
5. **Breach of Contract**  
*Sullivan v. O'Connor* (Mass. 1973) 6p
6. **Vicarious/Captain of the Ship**  
Spring, *MLMIC Dateline* (2010) 5p  
*Franklin v. Gupta* (Md. App. 1990) 15p

#### 4. Hospital Liability

##### A. Relationship between Providers and Hospitals

1. **Independent Contractors: Staff Privileges**
2. **Employment: Hospitalists, Nurses**  
Film clip: *Critical Care* (1997)

##### B. Vicarious Liability

Establishing the vicarious liability of a hospital or other institution/facility entails two steps. First, you must establish the liability of the individual provider. Second, you must establish that relationship between that individual and the institution afford a basis for vicarious liability.

1. **Respondeat Superior**  
*Schloendorff v. Soc'y NY Hosp.* (N.Y. 1914) 4p  
Restatement (Third) Agency 2.04 & 7.07 2p
2. **Ostensible Agency**  
*Adamski v. Tacoma Hosp.* (Wash. App. 1978) 7p  
*Thomas v. Oldfield* (Tenn. 2008) 5p
3. **Non-delegable Duty Doctrine**  
*Renown v. Vanderford* (Nev. 2010) 9p

**B. Direct Liability**

**1. Negligent Selection**

*Johnson v. Misericordia Hosp.* (Wis. 1981) 18p  
Restatement (Third) Agency 7.05 6p  
Public Citizen, *NPDB Report* 1-9 (2009) 9p

**2. Negligent Retention**

*Frigo v. Silver Cross* (Ill. 2007) (edited) 16p  
Film clip: *Ghost Town* (2008)

**3. Negligent Supervision (Policies, Procedures, Training)**

*Darling v. Charleston Hosp.* (Ill. 1965) 9p  
*Stroud v. Abington Hosp.* (E.D. Pa. 2008) (4-5, 9-12) 5p  
*Scampone v. Grane Healthcare* (Pa. Super. 2010) 20p

**4. Ordinary Negligence (Equipment, Facilities)**

**5. Negligence *Per Se***

**5. Managed Care Liability**

**A. Vicarious Liability**

**1. Staff/Group Model**

**2. IPA Model**

*Boyd v. Albert Einstein Med.* (Pa. 1988) 6p  
*Shannon v. McNulty* (Pa. Super. 1998) 8p  
*Petrovich v. Share Health* (Ill. 1999) 17p

**B. Direct Liability**

**1. Negligent Utilization Review**

*Wickline v. State* (Cal. App. 1986) 8p

**2. Negligent Selection & Retention**

*Dukes v. U.S. Healthcare* (3d Cir. 1995) 9p  
*Pagarigan v. Aetna* (Cal. App. 2005) 17p

**C. ERISA Preemption (502 Complete Preemption)**

The only type of claim against a MCO that can be preempted by ERISA is a claim for negligent UR. Vicarious liability and negligent selection/retention theories are unaffected.

Barnidge, *Hous. L. Rev.* (2004) (for overview) 34p  
28 U.S.C. 1441 1p  
29 U.S.C. 1132 1p  
U.S. Const., Art. VI 1p  
*Aetna v. Davila* (U.S. 2004) 18p  
*Sarkisyan v. CIGNA* (C.D. Cal. 2009) 15p

**D. ERISA Preemption (514 Conflict Preemption)**

29 U.S.C. 1144 1p  
*Gallagher v. CIGNA* (D. Me. 2007) 15p  
*Rush Prudential v. Moran* (U.S. 2002) (majority) 16p

**6. Medical Malpractice Reform**

**A. Defensive Medicine**

Mello, *Health Affairs* (2010) 7p  
Mass. Med. Society, *Report* (2008) 9p  
Bishop et al., *Archives Internal Med.* (2010) 2p

**B. Medical Malpractice Reform**

Kachalia & Mello, *NEJM* (2011) 7p  
Widman, *Cal. L. Rev.* (2010) 13p  
CRS, *Reform* (2006) 12p

**C. Initiatives to Reform Medical Malpractice Litigation**

**1. Reducing Claims Frequency**

Statutes of limitations	Limiting contingency fees
Damage caps	Pretrial screening panels
Certificate/affidavit of merit	Stricter substantive law

**2. Reducing Claims Severity**

Damage caps	Collateral source offset
Periodic payments	Limit joint & several

*Fein v. Permanente* (Cal. 1985) (majority) 18p  
*Perry v. Shaw* (Cal. App. 2001) 3p

3. **Increasing the Certainty of Frequency and Severity**
  - Damage caps
  - Health courts
  - Codify law
  - Arbitration
4. **No-Fault Compensation Schemes**
  - Florida Birth-Related Neurological Injury Compensation Assn
  - Virginia Birth-Related Neurological Injury Compensation Program
5. **Informal and Intramural Dispute Resolution**
  - “I’m Sorry” laws and programs
  - Mediation
6. **Improving the Quality of Care Itself**
  - Pay for performance
  - “Never events”

## 7. Provider Licensure

A majority of our time in this course will be focused on tort-based liability. But that is only one quality-assuring mechanism. Another is licensure. Both individual providers and healthcare institutions must be licensed by the state.

- A. **Gatekeeping Function**
  - State v. Miller* (Iowa 1995) 10p
  - Minnesota Medical Practice Act
- B. **Discipline Function**
  - Modi v. Medical Board* (W.V. 1995) 18p
  - Noesen v. Pharmacy Board* (Wis. App. 2008) 7p
- D. **Telemedicine and Online Prescribing**
  - State v. Hageseth* (Sup. Ct., Cal. 2009) 2p
- E. **Scope of Practice**
  - APN, midwife, and optometrist battles
- F. **Alternatives to Licensure (Other Quality-Assuring Mechanisms)**
  - Privileging and credentialing
  - Insurance delisting
  - Public reporting of outcome measures

## 8. Facility Licensure, Accreditation, and Certification

### A. Licensure

Pennsylvania Health Facilities Code (excerpts) 9p  
Cal. DOH, Administrative Penalties Report 1p

### B. Accreditation

The Joint Commission, *Fact Sheet* 1p

### C. Certification

Medicare Conditions of Participation (excerpts) 5p  
*Smith v. Heckler* (10<sup>th</sup> Cir. 1984) 9p  
*Smith v. Bowen*, (D. Colo. 1987) 4p  
*Cospito v. Heckler* (3d Cir. 1984) 24p